

STATEMENT UNDER 37 CFR 3.73(h)

Applicant/Patent Owner: Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center

Application No./Patent No: 09/525,269 / 6,743,769 Filed/Issue Date: March 13, 2000 / June 01, 2004

Entitled: ANTIMICROBIAL PEPTIDES AND DERIVED METAPEPTIDES

Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____ Frame _____ or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Michael R. Yeaman and Alexander J. Shen To: Harbor-UCLA Research and Education Institute

The document was recorded in the United States Patent and Trademark Office at Reel 8882, Frame 0218, or for which a copy thereof is attached.

2. From: Harbor-UCLA Research and Education Institute To: Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center

The document was recorded in the United States Patent and Trademark Office at Reel 015177, Frame 0803, or for which a copy thereof is attached.

3. From: _____ To: _____

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.


Signature

David L. Meyer, Ph.D.

Printed or Typed Name

President and CEO

Title

05/24/10

Date

310-222-3601

Telephone Number